



Shawn A. Hendricks

Partner

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Having played an integral role in several high-stakes securities law matters, Shawn Hendricks is relied upon by the full spectrum of investment companies (including mutual funds, closed-end funds and exchange-traded funds), private funds and investment advisers in connection with various regulatory, compliance and transactional issues.

A member of the firm's nationally recognized investment management practice, Shawn provides analysis for clients in all aspects of legal representation, including preparing regulatory filings, drafting and reviewing registration statements, offering documents and proxy solicitation materials, researching various securities and corporate law issues, reviewing and negotiating contracts, and counseling boards on various regulatory issues.

Notably, he has been involved in several large exchange-traded fund (ETF) mergers and acquisitions, including representing the O'Shares funds in a strategic transaction with SS&C ALPS Advisors, conversions of private funds to ETFs, and various fund launches with novel investment strategies. He also played a key role in one of the largest asset management M&A deals in 2018, advising Invesco in connection with its acquisition of OppenheimerFunds from MassMutual for \$5.7 billion in a deal that put the combined group among the largest money managers in the U.S. market.

Outside of his day-to-day legal practice, Shawn has several roles within Stradley Ronon. Along with co-chairing the firm's hiring committee, he serves on the firm's pro bono, inclusion, associate development, and talent and mentorship committees. He is the pro bono liaison for the firm's Philadelphia office. Shawn was selected as a 2023 Fellow by the Leadership Council on Legal Diversity (LCLD).

Featured Representative Matters

- Advised global independent investment management firm Invesco in connection with its acquisition of OppenheimerFunds from MassMutual for \$5.7 billion.
- Represented Invesco in its acquisition of Guggenheim Investments' ETF business for \$1.2 billion in cash.
- Represented O'Shares ETFs in a strategic transaction with SS&C ALPS Advisors, a wholly owned subsidiary of SS&C Technologies Holdings.

Services

- Exchange-Traded Funds (ETFs)
- Investment Advisers
- Investment Management
- Private Investment Funds
- Registered Investment Funds
- Independent Trustee Counsel
- Digital Assets, Tokenization & Blockchain

Education

- Rutgers University School of Law - Newark (J.D.)
- Drexel University (Dual B.S.)

Admissions

- Pennsylvania
- New Jersey
- New York

Community Impact

Shawn is on the advisory board for Drexel University's LeBow College of Business BRIDGE program, which supports underrepresented students in business as they develop into future leaders. He also serves on the board of directors, finance committee, and facilities and maintenance committee for the African American Museum in Philadelphia, where he has helped establish retirement plans for employees and drafted the museum's investment policy and employee handbook. He also recently served on the Executive Committee of Justice Rising Advocates, a group of young professionals fighting for justice alongside Community Legal Services in Philadelphia.

Memberships

- Co-Chair, Stradley Ronon Hiring Committee
- Member, Stradley Ronon Inclusion Committee
- Member, Board of Directors, African American Museum in Philadelphia
- Member, Advisory Board, Drexel University LeBow College of Business BRIDGE Program

Recognitions

- 40 Under 40, *Philadelphia Business Journal* (2026)
- *The Best Lawyers in America* (Ones to Watch: Securities Regulation) (2024-26)
- Rising Star, *Pennsylvania Super Lawyers* (2025)
- Rising Star, *With Intelligence* Mutual Fund and ETF Awards (2024)
- Lawyers on the Fast Track, *The Legal Intelligencer* (2023)
- Lawyers of Color, Hot List (2022)

Featured Speaking Engagements

- Speaker, "Legal and Compliance Career Opportunities in the Asset Management Industry," Investment Company Institute

Publications

Key ETF Trends to Watch Ahead of 2026 ICI ETF Conference

June 3, 2026

Experience

O'Shares ETFs Completes Strategic Transaction With SS&C ALPS Advisors

Stradley Ronon represented O'Shares ETFs in a strategic transaction with SS&C ALPS Advisors, a wholly owned subsidiary of SS&C Technologies Holdings. The transaction provides for a long-term collaboration between the providers and reorganized the O'Shares ETFs, with approximately \$1.5 billion in assets under management, into new series of ALPS ETFs. O'Shares will continue to provide

marketing support and index licensing.

O'Shares Investments ETF Reorganizations

Stradley Ronon's Investment Management Group represented O'Shares Investments, Inc. in the reorganization of the O'Shares sponsored O'Shares FTSE U.S. Quality Dividend ETF (NYSE Arca, Inc.: OUSA), O'Shares FTSE Europe Quality Dividend ETF (NYSE Arca, Inc.: OEUR) and O'Shares FTSE Asia Pacific Quality Dividend ETF (NYSE Arca, Inc.: OASI) series of FQF Trust into corresponding newly created, identically named ETF series of O'Shares' OSI ETF Trust, representing approximately \$470 million in AUM. In connection with the reorganizations, O'Shares Investment Advisers, LLC assumed the role of investment adviser to the ETFs with the prior investment adviser now serving as sub-adviser to the ETFs.

O'Shares Investments was founded by ABC "Shark Tank" investor and CNBC contributor Kevin O'Leary and Connor O'Brien.

Bryn Mawr Trust Launches SEC-registered Mutual Fund

Stradley Ronon advised Bryn Mawr Trust in connection with the launch of its new BMT Multi-Cap Fund. The mutual fund will allow Bryn Mawr Trust to offer one of its key investment management strategies to the mass market.

Since 1889, the professionals at Bryn Mawr Trust have focused on helping individuals, families, businesses and organizations create, manage, preserve and transfer wealth. Bryn Mawr Trust is a wholly owned subsidiary of the Bryn Mawr Bank Corporation, a one-bank holding company publicly held and traded on NASDAQ (BMTC).