



Pamela V. Wade

Counsel

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Leveraging her positions spent working in-house in the asset management industry, Pamela Wade counsels investment companies on a broad range of regulatory issues — including filings with the U.S. Securities and Exchange Commission (SEC) — and compliance and corporate governance matters.

Pamela focuses her practice on counseling investment companies and investment advisers in connection with various regulatory, compliance and transactional issues. She provides analysis for investment management clients in all aspects of legal representation, including preparing regulatory filings, drafting and reviewing registration statements and proxy solicitation materials, researching various securities and corporate law issues, and preparing and reviewing board and annual contract renewal materials.

Prior to joining Stradley Ronon, Pamela served as the senior product manager and assistant secretary of two mutual fund groups at a global investment adviser, where she managed the open-end fund range to ensure that all regulatory and corporate governance requirements were met. Prior to that, Pamela was the vice president and assistant counsel at a large fund servicing firm, where she managed a team providing all aspects of regulatory administration for several registered investment companies, including a series trust. She also served as an investment management paralegal at a Philadelphia law firm.

Before graduating from law school, Pamela spent 13 years in the financial industry supporting high-net-worth clients at several investment firms across the United States, including serving as a Financial Industry Regulatory Authority (FINRA) registered representative.

Services

- Investment Management

Education

- Temple University Beasley School of Law (J.D.)
- Haverford College (B.S.)

Admissions

- Pennsylvania
- New Jersey