



Matthew R. DiClemente

Partner -- Co-Chair, Investment Management

mdiclemente@stradley.com

Philadelphia, PA: 215.564.8173

Representing some of the largest investment management firms and fund complexes in the United States, Matthew DiClemente advises registered investment companies and their boards of directors, investment advisers, private funds and broker-dealers in a wide range of regulatory, corporate and transactional matters. He is co-chair of the firm's nationally recognized investment management practice, overseeing approximately 75 lawyers across nine offices. Matt is also a member of the firm's board of directors.

Under Matt's tenure as co-chair of the investment management practice, the firm has added to its robust slate of former U.S. Securities and Exchange Commission (SEC) staff; expanded client services to include emerging areas of law such as environmental, social and governance (ESG), fintech, roboadvisers and alternative investment products; and has grown into a market leader in exchange-traded funds (ETFs), becoming one of the largest investment management practices in the United States.

An accomplished funds lawyer involved in some of the most groundbreaking matters affecting the investment management industry, Matt's experience includes counseling open-end, closed-end and interval fund managers and boards on board governance, litigation, insurance, product development, regulation, disclosure and compliance matters; advising on derivatives and other complex instruments; and handling mergers and acquisitions involving investment companies and investment advisers.

In addition, Matt has counseled numerous investment management firms and funds in navigating complex SEC examinations and enforcement proceedings.

Prior to joining Stradley Ronon, Matt worked at a Philadelphia law firm, where he represented investment companies and other public companies in regulatory, corporate and transactional matters. He also worked for several years at a registered investment adviser and at a well-known investment bank.

Memberships

- Member, Alumni Advisory Board, Widener Institute of Delaware Corporate and Business Law
- Member, Business Law Section, Investment Company and Investment Adviser Sub-Committee, American Bar Association

Services

- Investment Management
- Retail Alternative Funds
- Closed-End Fund Activism
- Derivatives & Commodities
- Digital Assets, Tokenization & Blockchain
- Financial Services
- Independent Trustee Counsel
- Interval & Tender Offer Funds
- Investment Advisers
- Investment Management Mergers & Acquisitions
- Listed Closed-End Funds
- Private Investment Funds
- Registered Investment Funds

Education

- Widener University School of Law (J.D., *magna cum laude*)
- University of Delaware (B.S.)

Recognitions

- *Chambers USA* (Nationwide: Registered Funds) (2018-26)
- The Legal 500 US (Leading Lawyer: Investment Fund Formation and Management: Mutual/Registered/Exchange-Traded Funds) (2022-26)
- The Legal 500 US (Mutual/Registered/Exchange-Traded Funds) (2017-21)

Featured Speaking Engagements

- Panelist, "Bylaws: The Best Available Method to Protect Long-Term Retail Investors from Activist Attacks," ICI Closed End Fund Conference
- Moderator, "Charting New Waters: Crypto ETPs, ETF Share Classes and Other New Products," Stradley Ronon at ICI 2024 Investment Management Conference
- Moderator, "Considering Crypto: Tales from the Trenches," ICI Investment Management Conference
- Panelist, "An Evolving Industry: Mutual Funds Converting to ETFs - What You Need to Consider," EY Webinar
- Panelist, "Diversity and Inclusion in Philadelphia's Financial Industry," *Philadelphia Business Journal*
- Panelist, "ESG: All Aboard," Stradley Ronon Webinar
- Panelist, "The Future of Fund Distribution," IDC Fund Directors Conference (Chicago)
- Panelist, "Investment Adviser Association," Compliance Conference (Philadelphia)
- Presenter, "Mutual Funds at a Glance: Cybersecurity Best Practices and Industry Regulatory Updates," Stradley Ronon and Grant Thornton Webinar
- Co-Speaker, "SEC's Data Modernization and Enhanced Reporting Proposals," SIFMA Asset Management Group and Asset Managers Forum
- Co-Speaker, "Managing Disclosure Risk with ArcProspectus: How Much Does It Help," RR Donnelley Annual User Conference
- Co-Speaker, "Improving Disclosure to Reduce Litigation Risk," Stradley Ronon at ICI Mutual Funds and Investment Management Conference
- Moderator, "Director Discussion Series," Mutual Fund Directors Forum
- Panelist, "Making Sense of the CFTC Harmonization Rules for Registered Investment Companies and Their Investment Advisers"
- Panelist, "The Director's Point of View 2013: Bringing Current Mutual Fund Issues into Focus"
- Presenter, "New Directors Training," Mutual Fund Directors Forum Directors' Institute

Admissions

- Pennsylvania