



Kevin O'Connell

Associate

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Leveraging his experience working and interning at the Financial Industry Regulatory Authority (FINRA) and U.S. Securities and Exchange Commission (SEC), respectively, Kevin O'Connell counsels investment companies and investment advisers on a broad range of regulatory, compliance and transactional matters.

Before joining Stradley Ronon, Kevin was an associate principal investigator for the market investigations team at FINRA. He also interned at the SEC, London Stock Exchange Group and Vixio Gambling Compliance, a platform that helps businesses navigate and stay up to date with gambling regulations.

At The Catholic University of America Columbus School of Law, Kevin served as managing editor of the *Catholic University Journal of Law and Technology* and was also a member of the Securities Law Program.

Publications

Massachusetts Supreme Judicial Court Upholds the March 2020 Rule

September 28, 2023

Biden Vetoes Anti-ESG Measure

March 31, 2023

Form N-PX Amendments Adopted by SEC

November 8, 2022

Services

- Investment Management

Education

- The Catholic University of America Columbus School of Law (J.D.)
- University of Arkansas (B.S.)

Admissions

- California
- District of Columbia