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Katie Gallop

Associate

she/her/hers

kgallop@stradley.com
Washington, DC: 202.507.5161

Katie Gallop is a member of the firm's investment management practice and focuses her practice on counseling investment companies — including mutual funds, exchange-traded funds (ETFs) and private funds — and fund boards on a wide array of regulatory, compliance and transactional matters.

Katie counsels investment management clients in all aspects of regulatory matters, including drafting and reviewing registration statements and other filings, researching various securities law issues, and preparing board materials. She has also assisted with reviewing and drafting applications for ETF share class exemptive relief.

At American University Washington College of Law, Katie served as senior note and comment editor for the *Administrative Law Review* and worked as a teaching assistant in the Legal Rhetoric department. During her time in law school, she gained firsthand experience with the U.S. Securities and Exchange Commission while interning within the Enforcement Division's Office of the Whistleblower.

Prior to attending law school, Katie worked for a public health policy nonprofit.

Community Impact

Katie is a member of the Pro Bono Committee. Katie provides pro bono assistance to the DC Volunteer Lawyers Project's Domestic Violence and Family Law clinic, an organization that offers comprehensive legal services to survivors of intimate partner and gender-based violence.

Publications

SEC Website Disclosure Obligations: Dot Your I's and Hyperlink Your T's
January 24, 2025

FinCEN Finalizes AML/CFT Rule: 6 Takeaways for Investment Advisers Facing New Regulatory Scrutiny
September 6, 2024

Services

- Investment Management
- Independent Trustee Counsel
- Registered Investment Funds
- Exchange-Traded Funds (ETFs)
- Digital Assets, Tokenization & Blockchain
- Derivatives & Commodities

Education

- American University Washington College of Law (J.D., *cum laude*)
- Williams College (B.A.)

Admissions

- District of Columbia

Supreme Court Ruling Facilitates Challenges to Administrative Rules
July 16, 2024

Supreme Court Limits SEC's Home Field Advantage
July 3, 2024

Take Notice: SEC Adopts New Requirements Under Regulation S-P
May 31, 2024

Your ID, Please: An Investment Adviser's Guide to the Proposed Customer Identification Program
May 23, 2024

Employee Stock Purchase Plans
Employee Benefit Plan Review
May 7, 2024

Seeing SARs: FinCEN Proposes Bruising AML Rules for Investment Advisers
February 22, 2024

Déjà Vu All Over Again: DOL Proposes New Fiduciary Investment Advice Rule
December 1, 2023