



John T. McGuire

Senior Counsel

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A seasoned lawyer, Jack McGuire leverages his nearly two decades in-house as deputy general counsel and director of litigation at a global brokerage and investment bank to provide strategic counsel in a wide array of broker-dealer litigation, regulatory and corporate governance matters. On behalf of clients, Jack brings a rare combination of credibility, boardroom fluency and deep industry trust to guide strategic interactions with regulators, adversaries and high-level counterparties.

Jack has managed and negotiated multiple multimillion-dollar settlements with the Financial Industry Regulatory Authority (FINRA), U.S. Securities and Exchange Commission (SEC), Financial Crimes Enforcement Network (FinCEN) and state regulators. He has first-chaired numerous arbitrations and handled complex internal investigations, significant employment law issues and reputation risk remediation.

He is also well-versed in broker-dealer litigation and arbitration, white collar defense, compliance advisory issues, securities regulatory matters and equities trading. Jack has represented individuals and entities in investigations brought by the SEC, U.S. Department of Justice, self-regulatory organizations, and state and federal prosecutors.

While in-house, Jack supervised all of the bank's litigation and adversary matters and served as trusted counsel to the company's senior management, as well as to its retail management, capital markets, compliance and investment banking departments. He also served as general counsel at a New York Stock Exchange-registered broker-dealer.

Community Impact

Active in his community, Jack has engaged in fundraising activities for several nonprofits and other organizations, including Project Dynamo, Joe Torre Safe At Home Foundation, and TeachRock. Notably, he received the AmeriCorps President's Volunteer Service Award from President Joe Biden in 2023.

Memberships

- Member, Board of Directors, and Past President, Emerald Association of Long Island

Services

- Litigation
- Alternative Dispute Resolution
- Broker-Dealer
- Employment
- Financial Services
- Financial Services Litigation & Enforcement
- Internal Investigations
- Investment Management Litigation
- Securities & Regulatory Enforcement
- White Collar Criminal Defense

Education

- New York Law School (J.D.)
- St. Joseph's College (B.A.)

Admissions

- New York
- U.S. District Court for the Eastern District of New

- Vice President, St. Joseph's College Alumni Association

Recognitions

- AmeriCorps President's Volunteer Service Award (2023)
- St. Thomas More Award, Diocese of Brooklyn (2019)

Featured Speaking Engagements

- Panelist, "From Search to Selection: How Attorneys Pick Their Experts," Securities Experts Roundtable's Annual Meeting

York

- U.S. District Court for the Southern District of New York

Publications

Key Takeaways from SIFMA C&L Seminar: Navigating New Technology and Regulatory Frontiers

April 29, 2026