StradleyRonon

Jessica D. Burt

Partner

she/her/hers

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Jessica Burt is a partner in the firm's investment management practice, where she advises clients on the regulatory, governance and operational complexities of the asset management industry. Her practice centers on registered funds, independent directors and investment advisers, with a particular emphasis on compliance, board oversight and federal regulatory frameworks.

Jessica regularly counsels boards of directors and fund complexes on fiduciary responsibilities, oversight protocols, and best practices for navigating U.S. Securities and Exchange Commission (SEC) and other federal rules. She supports clients in preparing registration materials, structuring board meetings, and responding to regulatory inquiries. Her work includes advising on anti-money laundering (AML) programs, data privacy compliance, and risk mitigation strategies across fund operations.

Known for her practical approach and deep regulatory insight, Jessica helps clients manage evolving obligations under the Investment Company Act of 1940, Investment Advisers Act of 1940, and related federal statutes. She also works closely with legal and compliance teams to develop policies and procedures that align with industry standards and regulatory expectations.

Jessica frequently speaks on topics such as board governance, AML enforcement trends, and data privacy developments, and contributes to thought leadership in the investment management space.

Featured Representative Matters

- Represented a large investment management company complex in the adoption of 17 equity and fixed-income mutual funds.
- Assisted in the ongoing representation of a mutual fund complex in connection with the launch of an alternative strategy fund, including drafting and reviewing registration statements, review of sub-adviser materials, response to SEC inquiries, and preparation of necessary shareholder report disclosures.
- Engaged to advise the board of directors of a bank-owned investment management company complex on matters of corporate governance, fund oversight and compliance with federal securities laws.
- Handled a merger and internal fund reorganization for an investment company client

Services

- Investment Management
- Registered Investment Funds
- Independent Trustee Counsel
- Investment Advisers
- Retail Alternative Funds
- Digital Assets, Tokenization & Blockchain

Education

- University of Rochester (B.A., *magna cum laude*)
  - Phi Beta Kappa
- The George Washington University Elliott School of International Affairs (M.A.)
- American University Washington College of Law (J.D., *cum laude*)

Admissions

- District of Columbia
- New York

and prepared proxy statement electing trustees and approving new advisory and sub-advisory relationships.

- Assisted in an engagement with a pension consulting firm located in Guam to establish a series of collective trust funds.
- Engaged to represent a large investment management company complex with the redomestication of its closed-end funds to Delaware statutory trusts, followed by mergers involving the closed-end funds and various open-end funds.

Memberships

- Member, 100 Women in Finance
- Member, American Bar Association
- Member, District of Columbia Bar Association
- Member, Stradley Ronon Inclusion Committee
- Member, Stradley Ronon Hiring Committee

Recognitions

- Fellow, Leadership Council on Legal Diversity (2026)
- *The Legal 500 US* (Mutual/Registered/Exchange-Traded Funds) (2021)

Languages

- French
- Spanish

Featured Speaking Engagements

- Panelist, “Reg S-P,” Vigilant Compliance
- Panelist, “Navigating FinCEN’s AML/CFT Rules: Compliance Strategies for 2026 and Beyond,” CBIZ, M&T Bank and Stradley Ronon CLE
- Speaker, “Talking ESG,” American University Washington College of Law (June 2, 2022)
- Panelist, “Environmental, Social & Governance (ESG) Investment and Regulatory Perspectives Webinar,” 100 Women in Finance (March 23, 2022)

Publications

FinCEN Delays Compliance Date for AML/CFT Rule: Will Reopen Along with CIP Rule
July 25, 2025

FinCEN Finalizes AML/CFT Rule: 6 Takeaways for Investment Advisers Facing New Regulatory Scrutiny
September 6, 2024

A Climate of Uncertainty: SEC Adopts Rules to Enhance and Standardize Climate-Related Disclosures
March 21, 2024

Seeing SARs: FinCEN Proposes Bruising AML Rules for Investment Advisers
February 22, 2024

SEC Continues to Focus On ESG; Third Adviser Settles ESG-Related Enforcement Proceeding
September 28, 2023

“Fat-Free” ESG: SEC Proposes Rule Changes Related to Fund Names and Fund and Adviser Disclosure Related to ESG Investment Strategies
June 3, 2022

SEC Proposes Rule Changes for the Enhancement and Standardization of Climate-Related Disclosure
March 31, 2022

SEC Proposed Amendments to Form N-PX
October 6, 2021