



Giana Fallico

Associate

she/her/hers

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Giana Fallico focuses her practice on counseling investment companies — including mutual funds, closed-end funds and exchange-traded funds — and investment advisers on regulatory, compliance and transactional issues.

She advises investment management clients in all aspects of legal representation, including drafting and reviewing registration statements, proxy solicitation materials and other regulatory filings; researching various securities and corporate law issues; and preparing board materials.

At DePaul University College of Law, Giana was a law clerk at a personal injury firm and was a member of the DePaul Law Review. She was a summer scholar intern at a healthcare and information technology company and a workers' compensation intern at one of the largest insurers in the United States.

Publications

Structuring Crypto Exchange-Traded Funds

Employee Benefit Plan Review

June 2, 2025

Services

- Investment Management
- Interval & Tender Offer Funds
- Listed Closed-End Funds

Education

- DePaul University College of Law (J.D., *cum laude*)
- University of Illinois Urbana-Champaign (B.A.)

Admissions

- Illinois