



Connor Trafton

Associate

he/him/his

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Connor Trafton focuses his practice on financial services litigation, representing financial services firms, registered representatives and registered investment advisers in court and in Financial Industry Regulatory Authority (FINRA) and American Arbitration Association (AAA) arbitration proceedings. He has defended clients against claims of fraud, suitability, breach of fiduciary duty, breach of contract, and violations of state and federal securities laws, as well as claims related to publicly traded equities, options and other alternative or private placement investments.

Connor also represents employers in discrimination, harassment, retaliation, breach of contract, defamation, trade secret and non-solicitation matters. He counsels clients regarding compliance with employment laws, rules and regulations at the local, state and federal levels.

Previously, Connor was an associate at a midsize California-based law firm. Prior to law school, he externed for Administrative Law Judge Gladys Collazo in the Washington, D.C., Field Office of the U.S. Equal Employment Opportunity Commission and worked as an investigator for the Public Defender Service for the District of Columbia.

Featured Representative Matters

- Secured a defense verdict as second chair in an arbitration brought against a multinational financial services corporation alleging fraud and unsuitability related to a securities-backed line of credit.
- Served as second chair in an arbitration and successfully defended a multinational financial services corporation against claims of unsuitability and fraud related to the sale of structured products.

Recognitions

- *The Best Lawyers in America* (Ones to Watch: Litigation – Labor and Employment; Securities; Product Liability Litigation – Defendants) (2026)
- Southern California *Super Lawyers* (2025-26)

Services

- Complex Commercial & Class Action Litigation
- Employment
- Litigation
- Securities & Regulatory Enforcement
- Financial Services Litigation & Enforcement
- Financial Services
- Trial Practice

Education

- University of California, Los Angeles (J.D.)
 - Order of Barristers
- American University (B.A.)

Admissions

- California
- U.S. District Court for the Central District of California
- U.S. District Court for the Eastern District of California
- U.S. District Court for the Northern District of

California

- U.S. District Court for the Southern District of California

Publications

Key Takeaways from SIFMA C&L Seminar: Navigating New Technology and Regulatory Frontiers

April 29, 2026

Brokers Confront Increased Dispute Risk as AI Adoption by Self-Directed Investors Accelerates

Daily Journal

April 8, 2026